

# A synoptic- and remote sensing-based analysis of a severe dust storm event over Central Asia

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## 42 **Abstract**

43 A severe dust storm blanketing Central Asia on 3-4 November 2021 was investigated  
44 employing satellite remote-sensing, synoptic meteorological observations, reanalysis and  
45 HYSPLIT back-trajectories. The prevailing meteorological conditions showed an  
46 intensification of air subsidence over eastern Kazakhstan, featured in a typical omega-blocking  
47 system over the region and two troughs to its west and east axis, one day before the dust storm.  
48 The prevailing high-pressure system and temperature gradients over Kazakhstan modulated the  
49 dominant anticyclonic wind pattern generated from the south Balkhash basin toward the  
50 Caspian Sea, causing a huge dust storm that covered the southern half of Kazakhstan and large  
51 parts of Uzbekistan, Tajikistan and Turkmenistan. The dust storm originated in the steppes of  
52 southern Kazakhstan by violent downdraft winds. Initially it swept over eastern parts and then  
53 the whole of Uzbekistan, reaching the Caspian Sea in the west. Meteorological measurements  
54 and HYSPLIT back-trajectories at selected sites in Central Asia (Turkmenabat, Khujand and  
55 Tashkent) showed a remarkable dust impact that reduced temperature (by 2-4 °C) and visibility  
56 to below 1 km at different periods, as the thick dust plume expanded in various directions. The  
57 extremely high PM concentrations ( $PM_{10} > 10,000 \mu g m^{-3}$  in Tashkent) could endanger both  
58 human health and the environment, especially in a region suffering from high susceptibility to  
59 wind erosion and significant land degradation and desertification. Effective and immediate  
60 stabilising measures to control wind erosion in vulnerable areas of Central Asia are warranted.

61 **Keywords:** Atmospheric circulation; Dust storms; HYSPLIT; Backward trajectory; Tashkent.

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## 64 **1 Introduction**

65 The ambient air pollution induced by dust storms is associated with a wide range of human  
66 health disorders (Middleton, 2020) including (i) respiratory diseases such as bronchial asthma  
67 and chronic bronchitis (Al-Hemoud et al., 2018; Kang et al., 2012; Wang et al., 2014), (ii)  
68 cardiovascular diseases (Aghababaeian et al., 2021; Aili and Kim Oanh, 2015), (iii)  
69 psychological and cognitive disorders (Ghaisas et al., 2016; Gordeev et al., 2013) and (iv)  
70 neurodegenerative diseases (Aleya and Uddin, 2020; Chin-Chan et al., 2015; Galán-Madruga  
71 et al., 2020; Galán-Madruga and García-Camero, 2022; Shafiee et al., 2021). Moreover,  
72 increased total non-accidental deaths in both adults and children are reported among exposed  
73 individuals in areas highly impacted by dust storms (Achilleos et al., 2019; Díaz et al., 2017;  
74 Galán-Madruga et al., 2022; Kashima et al., 2016; Perez et al., 2008). High ambient  
75 concentrations of dust particles caused by intense dust storms also lead to horizontal visibility  
76 reduction, which can have socio-economic impacts in several sectors, including aviation,

77 transport, education, leisure construction and energy production (Middleton et al., 2021;  
78 Middleton, 2017; Middleton and Kang, 2017). Dust aerosols, originating from desert areas all  
79 over the world, can play an important role in altering Earth's solar radiation balance and the  
80 primary productivity of oceans through iron fertilization (Cherian and Quaas, 2020; Jickells et  
81 al., 2005; Kok et al, 2018; Schepanski, 2018; Valenzuela et al., 2017). Dust is a major type of  
82 tropospheric aerosol and the most common wind-induced climatic phenomenon in the  
83 hyperarid, arid and semi-arid regions of Central Asia (CA), accounting for ~25% of total global  
84 dust emissions, with significant impacts on regional climate, biogeochemical cycles, loess  
85 formation and the hydrological cycle (Booth et al., 2012; Ginoux et al., 2004; Li et al., 2021;  
86 Issanova and Abuduwaili, 2017; Uno et al., 2009).

87 In recent times, dust generation and, consequently, population exposure in CA have  
88 escalated due to climate variability and land cover changes, as a result of rapid development,  
89 deforestation, enhanced aridity, mining and agricultural activities (Gao and Washington, 2009;  
90 Sternberg and Edwards, 2017; UN, 2010; Wiggs et al., 2003). Across CA, the most wind  
91 erosive areas and hotspots of dust storm activity are in Kazakhstan (areas surrounding the  
92 desiccated Aral Sea, known as the Aralkum Desert, Saryesik Atyrau Desert to the south of  
93 Lake Balkhash and Muyunkum Desert to its western end), Turkmenistan (Karakum Desert),  
94 Uzbekistan (Kyzylkum Desert), west of Mongolia and northwest China (Tarim Basin,  
95 Taklimakan and Gurbantunggut Deserts) (Gholami et al., 2021; Laurent et al., 2006; Song et  
96 al., 2021). Beyond climate change (decrease of precipitation and desertification over CA),  
97 human intervention, specifically the extended cultivation ploughing up of pastures during the  
98 Virgin Lands Programme of the 1950s, have played an important role in the increased wind  
99 erosion activity (Goudie and Middleton, 2006; Indoitu et al., 2012; Li and Sokolik, 2018). For  
100 example, in Kazakhstan, different degrees of land degradation and desertification occur due to  
101 anthropogenic activities, unsustainable land practices such as agricultural activities, and non-

102 rational use of natural sources such as water (Almaganbetov and Grigoruk, 2008; ARLCURK,  
103 2006; Lau et al., 2020; Madruga et al., 2019). Land degradation and desertification are mainly  
104 observed in regions under unfavourable ecological conditions such as Lake Balkhash, Caspian  
105 lowland and around the dried bed of the Aral Sea (GEF, 2003; NPRK, 2005). The areas in  
106 Kazakhstan most vulnerable to wind erosion are the western and southern parts, where the total  
107 wind-eroded lands are estimated at about 12.4 and 13.1 million hectares respectively (out of  
108 273.5 million hectares of the Kazakhstan territory). In addition, wind eroded agricultural lands  
109 in the eastern and northern parts of the country occupy about 1.28 and 3.87 million hectares  
110 respectively, which are subject to accelerated desertification, including around 66% of  
111 Kazakhstan's total area (Almaganbetov and Grigoruk, 2008; CSD, 2002). These conditions  
112 may lead to changes in regional terrestrial (desertification, wetness of topsoil, surface water  
113 resources, surface roughness) and climatic factors (wind and rainfall regimes), facilitating  
114 generation of dust storms over CA (Huang et al., 2016, 2017; Mahmoodirad et al., 2016; Wang  
115 et al., 2017; Xi and Sokolik, 2015).

116 Seasonal and inter-annual changes in atmospheric circulation patterns, along with changes  
117 in local topography, land use land cover (LULC) and long-term modulations of the climate  
118 system, control the dust activity over CA (Kaskaoutis et al., 2017; Nobakht et al., 2021; Shi et  
119 al., 2019; 2021; Zhang et al., 2020). Dust particles rising from Central Asia are held responsible  
120 for air-quality deterioration over Korea, Japan and Taiwan (Hashizume et al., 2010; Hasunuma  
121 et al., 2019), as well as northeast Iran-Afghanistan and other parts of southwest Asia  
122 (Kaskaoutis et al., 2016; Mohammadpour et al., 2022). Specific synoptic weather patterns may  
123 also favour dust from CA to be transported to the west, impacting Georgia, Belarus and  
124 Lithuania (Hongisto and Sofiev, 2004) or even the Balkans and Italy (Tositti et al., 2022).  
125 Furthermore, other studies showed that 3% of Asian dust can reach the western USA  
126 (Creamean et al., 2014). Dust-raising activity over CA occurs mostly during spring and summer,

127 depending on area and meteorological conditions (Rupakheti et al., 2020, 2019), while dust-  
128 induced radiative forcing during intense dust events in Dushanbe, Tajikistan were estimated at  
129  $-48 \pm 12$ ,  $-85 \pm 24$  and  $37 \pm 15 \text{ Wm}^{-2}$  at the top of the atmosphere, surface and within the  
130 atmosphere, respectively, with even higher values during extreme dust events (Rupakheti et al.,  
131 2021). Although several aspects regarding dust sources, climatology of dust activity and dust  
132 impacts have been well documented in CA, as discussed above, case studies of severe and long-  
133 range transported dust events from this region are rare in the literature (Tositti et al., 2022).

134 This work analyses a severe dust storm event over CA that affected a large area in southern  
135 Kazakhstan, Uzbekistan and Tajikistan on 3–4 November 2021 (Eurasianet, 2021;  
136 MKWEATHER, 2021) (**Fig. 1**). A massive dust storm covered Tashkent, the capital of  
137 Uzbekistan, where the horizontal visibility decreased to 200 meters and the  $\text{PM}_{10}$   
138 concentrations spiked at  $18,000 \mu\text{g m}^{-3}$  on 4 November 2021, 30 times above the Uzbekistan  
139 maximum acceptable level. Local authorities reported that it was the most extreme sand/dust  
140 storm during the last 150 years of monitoring in Tashkent (Uzhydromet, 2021). The true colour  
141 imagery of Terra-MODIS sensor, accessible from NASA Worldview  
142 (<https://worldview.earthdata.nasa.gov>) on 4<sup>th</sup> November 2021, showed a thick dust plume  
143 covering parts of south-eastern Kazakhstan, Uzbekistan and north Tajikistan around the  
144 Fergana valley (**Fig. 1**). People in Tashkent were advised to stay indoors, avoiding walks and  
145 physical activities. On 4<sup>th</sup> November 2021, the ambulance service received 687 calls from  
146 inhabitants in Tashkent seeking help for respiratory problems. Besides hospital admissions,  
147 local authorities reported car accidents and casualties due to low horizontal visibility  
148 (Uzhydromet, 2021). Moreover, on 5<sup>th</sup> November, the dust haze caused interruptions in  
149 drinking water supply in some districts of Tashkent due to the malfunction in the high-voltage  
150 power supply network (Eurasianet, 2021). Dust intrusion also caused a power outage in about  
151 50 villages in the Turkestan region, southeast Kazakhstan, while drivers were stuck on the

152 highway in traffic jams on 4<sup>th</sup> November 2021, due to reduced visibility (Eurasianet, 2021).  
153 Overall, this severe dust storm caused many socio-economic and health impacts for local  
154 inhabitants, beyond deterioration of air quality.

155 This unprecedented dust event in CA undoubtedly needs further investigation of the  
156 meteorological conditions and driving mechanisms that initiated such a dust storm. This study  
157 investigates the synoptic meteorology and atmospheric circulation patterns that triggered this  
158 dust storm event and aims to detect the dust source and the expansion of the dust plumes via  
159 SEVIRI satellite imagery. Furthermore, it examines the impact of the dust storm on local  
160 meteorological conditions and visibility at specific sites in CA, and provides discussions about  
161 land degradation and increased dust activity over CA during the last decades.

## 162 **2 Methods**

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### 164 **2.1 Study region**

165 The Central Asian plains stretch from the shores of the Caspian Sea in the west to the  
166 foothills of Altai, Tian-Shan and Pamir Mountains in the east (**Fig. 2**). The Central Asian  
167 drylands cover an area of 1.890 million km<sup>2</sup> and are home to about 40 million inhabitants  
168 (Indoitu et al., 2012). The area consists of various litho-edaphic desert types such as gravel-  
169 gypseous and gravel, sandy, sandy-pebble and pebble, loess, loamy, solonchakous and clayey  
170 deserts (Issanova and Abuduwaili, 2017; Gholami et al., 2021; Li et al., 2021). Based on  
171 different synoptic processes and meteorological conditions, CA is divided into two climatic  
172 zones of northern and southern (Issanova et al., 2015). The northern part has a dry and cold  
173 continental Central Asian climate, while the southern region is characterized by a dry and hot  
174 climate. In the northern part, the mean annual temperature varies between 5 and 11 °C, while  
175 it increases to 13–16.6 °C in the southern part. The annual precipitation over the whole region  
176 varies between 80 mm and 200 mm and it is below 100 mm in the desert regions of western

177 Balkash shore, Kyzylkum, Karakum Deserts and Betpak-Dala (Indoitu et al., 2012; Issanova  
178 and Abuduwaili, 2017).

## 179 **2.2 Ground-based observations**

180 In this study, ground-based hourly data of horizontal visibility, wind speed and  
181 temperature at selected stations in Central Asia (i.e., Tashkent, Uzbekistan; Khujand, Tajikistan;  
182 Turkmenabat, Turkmenistan) were obtained from the Iowa Environmental Mesonet  
183 (<https://mesonet.agron.iastate.edu/ASOS/>). Additionally, hourly PM<sub>2.5</sub> data were obtained  
184 from the monitoring station in the United States Embassy in Tashkent, Uzbekistan  
185 (<https://www.airnow.gov/international/us-embassies-and-consulates/>).

## 186 **2.3 Reanalysis data**

187 ERA-5 reanalysis (Hersbach et al., 2020) is produced by European Centre for Medium-  
188 Range Weather Forecasts (ECMWF) within the Copernicus Climate Change Service (C3S),  
189 which includes a detailed record of the global atmosphere and land surface from 1950 onwards  
190 (Hersbach et al., 2020). In this study, ERA-5 reanalysis data was used to obtain meteorological  
191 variables of (i) vertical velocity at 300 hPa, (ii) zonal wind at 250 hPa, (iii) geopotential heights  
192 at 500 and 850 hPa, (iv) air temperature at 2 m, (v) Mean Sea Level Pressure (MSLP) and (vi)  
193 surface vector winds, for the characterization of the daily synoptic conditions at  $0.5^\circ \times 0.5^\circ$   
194 spatial resolution over CA during the dust storm event.

195 The Modern-Era Retrospective Analysis for Research and Applications, version 2  
196 (MERRA-2), is a long-term global reanalysis product with a horizontal resolution of  $0.5^\circ \times$   
197  $0.625^\circ$  (latitude, longitude) and a temporal resolution varying from hour to month ( Galán-  
198 Madruga, 2022; Gelaro et al., 2017; Sayer et al., 2019; Shafiee et al., 2019). In this study, the  
199 dust loading/dust column mass density ( $\text{g m}^{-2}$ ) was taken over CA on a daily basis around the

200 dust storm event, as MERRA-2 has been proved as an accurate database for studying dust  
201 aerosols (Shaheen et al., 2020; Shi et al., 2019).

## 202 **2.4 Satellite remote sensing observations/products**

203 Visible/IR images of SEVIRI (Spinning Enhanced Visible and Infrared Imager) were  
204 employed to monitor the transport of the dust storm in high temporal resolution (~15 mins)  
205 (Schepanski et al., 2007, 2009). The infrared channel data from SEVIRI is based on RGB (red-  
206 green-blue) image compositions, and dusty pixels in pink or magenta colours are used to  
207 monitor the evolution of dust events during both day and night over desert areas (Martínez et  
208 al., 2009; Kaskaoutis et al., 2019a).

## 209 **2.5 HYSPLIT Model**

210 The HYSPLIT-4 (Hybrid Single-Particle Lagrangian Integrated Trajectory) model is  
211 widely used for analysis of the air-mass trajectories, dispersion and deposition of aerosols using  
212 the Global Forecast System (GFS) meteorological parameters as the initial background field  
213 (Ashrafi et al., 2014; Draxler and Hess, 1997). In this study, HYSPLIT air-mass back-  
214 trajectories were used at certain receptor sites in Central Asia like Tashkent (41.3° N, 69.26°  
215 E), Khujand (40.28° N, 69.63° E) and Turkmenabat (39.03° N, 63.56° E) on the dust storm day  
216 (4 November 2021), in order to investigate the dust source and the pathways of the expanded  
217 dust plumes that affected several regions in Central Asia (Rashki et al., 2015).

# 218 **3 Results and discussion**

## 219 **3.1 Satellite remote sensing observations**

220 SEVIRI Visible/IR imagery enables detection of slight or thick dust plumes, as well as  
221 subtle variations from one image to another, on high temporal resolution (Schepanski et al.,  
222 2009; Alizadeh et al., 2014). In this study, SEVIRI imagery was deployed to monitor the



223 evolution of the dust storm, aiming to identify the source origin, expansion of the dust plume  
224 and the affected areas in CA on 4<sup>th</sup> November 2021 (**Fig. 3**). Strong north-easterlies, which will  
225 be analysed in the next section, triggered dust-raising in Zhambyl region, and activated dust  
226 sources in Moiyunkum, Kyzylorda and eastern Kyzylkum Deserts in the early morning of 4<sup>th</sup>  
227 November 2021 (04:00 UTC). The thick dust plume, shown in bold pink and magenta colours,  
228 reached Turkmenabat, Tashkent and Khujand at around 09:00 UTC, 11:00 UTC and 12:00  
229 UTC, respectively. Terra-MODIS true-colour observations and SEVIRI RGB images  
230 corroborate detection of a very thick dust plume. However, the intensity of the pink/magenta  
231 colours associated with dust in RGB imagery does not absolutely agree with the dust intensity,  
232 since the RGB signal could be affected by dust mineralogy, low-temperature inversions and  
233 dust-layer height (Brindley et al., 2012; Solomos et al., 2018). The extensive cloudiness over  
234 the mountainous ranges is detected in ochre and brown colors in RGB imagery. Note also the  
235 change in the desert-surface reflectance colour from light cyan during the early morning hours  
236 to yellow, light orange during noon and early afternoon hours on 4 November (**Fig. 3**).

### 237 **3.2 Atmospheric dynamics during the dust storm**

238 This section analyses the atmospheric circulation patterns in the upper, middle, and lower  
239 troposphere during a 6-day period (1–6 November 2021) around the dust storm day (4  
240 November 2021), aiming to reveal the dynamic conditions that were associated with the genesis,  
241 expansion and dissipation of the dust storm (**Figs. 4-7**). Prior to the dust storm, on 1<sup>st</sup> November,  
242 a typical cold atmospheric circulation formed over eastern Europe and the Balkan Peninsula,  
243 detected by a deep trough, which started to dissipate on 3<sup>rd</sup> November (**Fig. 4a-c**). In the upper  
244 troposphere, these conditions were characterized by two relatively weak polar and subtropical  
245 jet streams over Russia and south Asia, respectively. The polar jet stream was progressively  
246 moving from central-west Siberia to east Kazakhstan, while marginal changes were observed  
247 in the sub-tropical jet stream, with a core of above 45 ms<sup>-1</sup> over the Ganges valley and the

248 Himalayas (**Fig. 5a-c**). The dynamic conditions created subsidence behind the subtropical jet  
249 core over the east of Iran, Afghanistan and Pakistan, while negative omega values at 300 hPa  
250 dominated over the Kazakhstan-Russia border, associated with the polar jet (**Fig. 5a-c**). In the  
251 meanwhile, the cold Siberian anticyclone was dominant over eastern Siberia, creating a strong  
252 gradient of geopotential heights across the Russia-Kazakhstan border. A high-pressure ridge  
253 prevailed on days prior to dust storm stretching from the Middle East and Iran to the Caspian  
254 Sea and western Russia, carrying warmer air masses over the region. These conditions created  
255 an omega blocking system over CA and Russia on days prior to the dust storm, while the axis  
256 of this ridge progressively shifted from southeast-to-northwest (1<sup>st</sup> November) to southwest-  
257 northeast on 4<sup>th</sup> November (**Fig. 4**), thus changing the upper-troposphere circulation. The  
258 upper-level conditions accompanied by stretching a trough in mid troposphere (500 hPa) over  
259 the northern borders of Kazakhstan, increased the instability along troposphere, which was  
260 induced to penetrate cold air masses from the Siberian region into CA. On 3<sup>rd</sup> November, just  
261 prior to the dust storm, the polar jet, with a core of 35-45 ms<sup>-1</sup>, moved from south Russia to  
262 east Kazakhstan, causing negative omega at 300 hPa over the region (**Fig. 5a-c**). These  
263 conditions maximized air subsidence over the Kazakhstan-Russia border, which was  
264 accompanied by the eastward replacement of the polar jet with air upward motion over  
265 southeast Kazakhstan (**Fig. 5c**). The circulation at 500 hPa level featured a typical omega  
266 blocking pattern, with a large ridge over west Russia and two troughs to its west and east,  
267 whereas the latter was much deeper than the former extending into the whole territory of  
268 Kazakhstan. A strong surface-temperature gradient was created along north Kazakhstan, with  
269 the minimum temperature below -30 °C (Sun et al., 2020), which was moving northwards  
270 affecting the central-eastern part of Kazakhstan on 3<sup>rd</sup> – 4<sup>th</sup> November, with characteristics of  
271 a cold front associated with the Siberian anticyclone. Furthermore, the establishment of the

272 polar jet stream strengthened the vertical instability, helping the convergence and invasion of  
273 cold air mass into east Kazakhstan.

274 The atmospheric circulation on 4<sup>th</sup> November had a notable difference from that on 3<sup>rd</sup>  
275 November, mostly detected by the strengthening of the jet stream over eastern Kazakhstan  
276 (wind speeds above 45 ms<sup>-1</sup>). This jet stream was expanded over a much lower area, which was  
277 affected by an intensified trough, as a tongue of cold-air intrusion from the Siberian anticyclone  
278 (**Fig. 4d, 5d**). These meteorological conditions triggered highest negative omega values  
279 highlighted an upward air motion in the upper troposphere over east Kazakhstan and  
280 surroundings and is likely to be highly associated with the dust storm outbreak, as also shown  
281 in previous studies over the Middle East and the Mediterranean (Kaskaoutis et al., 2019b;  
282 Rashki et al., 2019; Hamzeh et al., 2021). These dynamic conditions also induced an intense  
283 gradient between northern divergence and southern convergence in Central Asia. Furthermore,  
284 in the middle troposphere (500 hPa), the south-westward trough became deeper compared to  
285 previous day and it is stretched from Siberia to Iran, with the expanded ridge north-eastward,  
286 covering central Russia (**Fig. 4d**). The omega blocking system, accompanied with the Siberian  
287 anticyclone and favoured by the establishment of the upper-level jet stream over east  
288 Kazakhstan, seem to play a major role in the dust storm outbreak in east Kazakhstan. Although  
289 the atmospheric circulation patterns during intense dust storms in CA have not been well  
290 documented, being also variable depending on season and dust event (Kaskaoutis et al., 2019b;  
291 Tositti et al., 2022), the role of the Siberian anticyclone, the position and movement of the  
292 upper-level jet stream seem to be very important factors controlling dust activity over CA  
293 during the cold period of the year.

294 On 5<sup>th</sup> November, the strong zonal winds at 250 hPa (> 25 ms<sup>-1</sup>) covered an extended  
295 area from Italy toward western Russia (**Fig. 5e**), which was further extended to Siberia on the  
296 next day, while the upper-level jet over eastern Kazakhstan was dissipated and moved further

297 to the south, practically merged with the subtropical upper-level jet over north India and the  
298 Tibetan Plateau (**Fig. 5f**). This weather pattern reflects rather stable upper-troposphere  
299 conditions accompanied by descending air, with positive omega values, over nearly the whole  
300 CA. The negative omega values prevailed in the northern edge of the subtropical jet (**Fig. 5e**),  
301 became more active air ascending over the trough-affected areas contributing to suction of cold  
302 air masses toward Tajikistan and northeast Pakistan on 5<sup>th</sup> November, when an expanded  
303 trough tongue covered the southeast Central Asian countries, extended over Iran (**Fig. 4e**). The  
304 omega blocking system over CA was significantly dissipated after the dust storm day and was  
305 limited to southern latitudes, as a ridge over the East Mediterranean - Middle East (EMME)  
306 region. These conditions limited invasion of polar cold air and transferred warmer air masses  
307 over CA and pushed the trough toward the east, while a zonal circulation was established at  
308 northern latitudes over Russia (**Fig. 4e, f**).

309 The relative positions and intensity of the low- and high-pressure systems accompanied  
310 by the polar and subtropical jet streams at upper-levels, generally control the intensity of the  
311 surface regional winds and dust outbreaks (Francis et al., 2018, 2022; Mohammadpour et al.,  
312 2021b; 2022a, b). The meteorological conditions due to Caspian's ridge at 500 hPa level  
313 facilitated the formation of high-pressure conditions at lower troposphere and at the surface  
314 over CA countries (**Fig. 6**). These conditions seem to modulate the dust activity over the region  
315 (Kaskaoutis et al., 2016; Shi et al., 2019). The dynamic pressure pattern on 2<sup>nd</sup> November,  
316 which was a combination of two weak high-pressure systems over north Russia and CA on  
317 previous day, was characteristic of the omega blocking at the lower troposphere (850 hPa) and  
318 at the surface, with high-pressure conditions over central Siberia. The geopotential heights at  
319 850 hPa presented even higher values on the next days (3<sup>rd</sup> and 4<sup>th</sup> November 2021), with  
320 closed high-pressure systems over Kazakhstan, while at surface, high-pressure conditions of  
321 above 1040 hPa dominated over the whole Kazakhstan territory. The synoptic meteorology

322 over the examined domain clearly dominated by this high-pressure system over CA, while  
323 lower pressure conditions prevailed in south Asia, the EMME region and in central/western  
324 Europe (**Fig. 6a-d**). This intense and expanded high-pressure system over CA (>1600 gpm;  
325 >1040 hPa over Kazakhstan), was a triggering dynamic for the formation of dust storm on 4  
326 November 2021, while on the days after the dust storm, the core of the high-pressure system at  
327 850 hPa was expanded over a larger area, slightly moved towards the east, and then  
328 significantly dissipated (**Fig. 6e-f**). These meteorological conditions were different from those  
329 usually prevailed during dust storms over southwestern CA in spring and summer that were  
330 attributed to high-pressure system over the Caspian Sea and thermal low-pressure over  
331 topographic-low areas in southern latitudes (Cheng et al., 2019; Li et al., 2019;  
332 Mohammadpour et al., 2021b, 2021a). Overall, MSLP dynamics highly controlled the wind  
333 regime on days prior, during and after the intense dust storm of 4<sup>th</sup> November 2021 over  
334 southeastern Kazakhstan.

335 Figure 6 shows the vector wind at the surface along with the spatial distribution of dust  
336 loading (in  $\text{g m}^{-2}$ ) obtained from MERRA-2 over Central Asia from 1 to 6 November 2021.  
337 The establishment of the high-pressure system over the northern part of CA on 2<sup>nd</sup> November,  
338 modified the wind regime from the previous day, with a strong anticyclonic flow over  
339 Kazakhstan, which further intensified on 3<sup>rd</sup> November. The easterly winds, propagated from  
340 the southern flanks of the high-pressure system over the southern part of CA, passed over  
341 Moiyunkum, eastern Kyzylkum, Aralkum and Karakum Deserts (Zhou et al., 2019) and  
342 advected high dust loading covering a wide area till the shores of the Caspian Sea (**Fig. 7b-c**).  
343 At the same time, winter Shamal wind facilitated increased dust loading over the Syrian–Iraqi  
344 plains. A strong northerly/north-easterly flow dominated over the dust-source area, as well as  
345 over the alluvial dried beds in the Balkhash basin in east Kazakhstan, favouring dust emissions.  
346 On the dust storm day (4 November 2021), the associated changes in the distribution of G850,

347 MSLP and wind regimes over the central Asian countries, indicated that the strengthened high-  
348 pressure system intensified the dominant anticyclonic wind pattern compared to previous days.  
349 The prevailing surface wind propagated from the southern Balkhash basin blowing toward the  
350 Caspian Sea and affected the southern half of Kazakhstan and nearly whole territories of  
351 Uzbekistan and Turkmenistan (**Fig. 7d**). These areas are covered by high columnar dust loading  
352 greater than  $1.1 \text{ g m}^{-2}$ , probably emitted from the various deserts such as Aralkum, Kyzylkum,  
353 Trans-Unguz, and central Karakum and alluvial dried beds of the Caspian lowlands (Nobakht  
354 et al., 2021). Therefore, apart from the thick dust plume that covered the Tashkent area on 4  
355 November 2021 and caused several socio-economic and health impacts on local population,  
356 the north-easterly/easterly flows generated from the centre of the anticyclone over Kazakhstan  
357 facilitated an extensive dust blanket over the southern parts of CA, also covering the Caspian  
358 Sea (**Figs. 7d**). The synoptic conditions on 5<sup>th</sup> November presented large similarities with the  
359 dust storm day, and this is also shown in the vector wind pattern, while the dust loading was  
360 progressively dissipated with lower values ( $\sim 0.5$  to  $0.7 \text{ g m}^{-2}$ ) over southern CA (**Fig. 7e**).  
361 MERRA-2 observations show high dust loading over the Tarim Basin and Taklimakan Desert,  
362 likely caused by convergence of winds over these desert areas and a significant dust transport  
363 from Libya towards south Italy and the Balkans due to strong southerlies. On the next day, 6<sup>th</sup>  
364 November 2021 (**Fig. 7f**), the dust loading over Central Asia was further reduced, while the  
365 dust hotspots over Taklimakan, central Mediterranean and the Indo-Gangetic plains intensified.

### 366 **3.3 Ground-based meteorological observations**

#### 367 **3.3.1 *PM<sub>2.5</sub> concentrations in Tashkent***

368 **Figure 8** shows the variation of hourly  $\text{PM}_{2.5}$  concentrations from the air quality station located  
369 in the US embassy in Tashkent, Uzbekistan from 1 to 10 November 2021. The  $\text{PM}_{2.5}$   
370 concentrations are color-coded with the Air Quality Index (AQI) data classified for the six AQI  
371 categories (good, satisfactory, moderate, poor, very poor and severe) related to various health

372 clusters for the local population (from good to hazardous). Around 18:00 pm on 4 November  
373 2021, there was a spike in PM<sub>2.5</sub> levels caused by the arrival of the severe dust storm originated  
374 from southeast Kazakhstan. PM<sub>2.5</sub> concentrations raised above 900 µg m<sup>-3</sup> during the afternoon  
375 hours on 5<sup>th</sup> November. On 5 and 6 November, the AQI values were categorized in the very  
376 unhealthy and hazardous class for any group of population in Tashkent. There was a gap in  
377 PM<sub>2.5</sub> recordings between 22:00 pm on 4<sup>th</sup> November and 17:00 pm on 5<sup>th</sup> November, probably  
378 attributable to instrument failure caused by the severe PM concentrations. The daily mean  
379 PM<sub>2.5</sub> concentrations were 393 µg m<sup>-3</sup> (26 times higher than the guideline level of 15 µg m<sup>-3</sup>  
380 according to WHO), 215 µg m<sup>-3</sup> (14 times higher) and 111 µg m<sup>-3</sup> (7.5 times higher), on 6<sup>th</sup>, 7<sup>th</sup>  
381 and 8<sup>th</sup> November, respectively (WHO, 2021). The intense dust haze ( caused by particles  
382 raised into the atmosphere by a recent dust or sand storm) started dissipating in the evening  
383 hours of 6<sup>th</sup> November. Still, dust particles remained till about 15<sup>th</sup> November when heavy rain  
384 helped to wet deposition of PM. Similarly elevated PM<sub>2.5</sub> concentrations during severe dust  
385 storms have been reported in other parts of the world (Dumka et al., 2019; Hussein et al., 2020;  
386 Wu et al., 2021). In Beijing, China, Wu et al. (2021) reported daily mean PM<sub>2.5</sub> concentrations  
387 exceeding 200 µg m<sup>-3</sup> on 15<sup>th</sup> March 2021 caused by an intense dust storm originating in  
388 Mongolia. In addition, PM<sub>2.5</sub> levels were ~109 µg m<sup>-3</sup> on 25<sup>th</sup> July 2018 in Amman, Jordan  
389 during a dust storm episode originating in the Sahara Desert (Hussein et al., 2020; Shafiee et  
390 al., 2017).

### 391 3.3.2 *Changes in horizontal visibility and 10-m wind speed*

392 **Figure 9** shows the hourly ground-based measurements of horizontal visibility and wind  
393 speed during 1-6 November 2021 at three sites in CA (Turkmenabat, Tashkent and Khujand)  
394 directly affected by the dust storm. In Turkmenabat, the dust arrived at around 09:00 UTC on  
395 4<sup>th</sup> November and lasted for approximately 15 hours. During the arrival of the dust storm,  
396 visibility dropped drastically from about 10 km to 1 km, accompanied by a notable increase of

397 wind speed from about  $4 \text{ ms}^{-1}$  to  $10\text{--}12 \text{ ms}^{-1}$  during the peak of the dust storm over the site (Fig.  
398 8a). The minimum horizontal visibility was recorded at 15:00 UTC with a value of 692 m,  
399 when the wind speed was  $12.5 \text{ ms}^{-1}$ .

400 In Tashkent, horizontal visibility varied considerably on days prior to the dust storm,  
401 while the large gaps in visibility were accompanied by weak-to-calm winds ( $< 1\text{--}2 \text{ ms}^{-1}$ ) that  
402 favoured the accumulation of anthropogenic aerosols and pollutants near the ground. This is a  
403 characteristic atmospheric condition in urban-polluted environments, where the weak winds  
404 and temperature inversions are responsible for trapping aerosols near the ground, which  
405 contribute to scattering of solar radiation and visibility degradation (Dumka et al., 2017;  
406 Liakakou et al., 2020). However, on 4 November the dramatic decrease in visibility was  
407 accompanied by a notable increase in wind speed ( $6\text{--}7 \text{ ms}^{-1}$ ) signalling the arrival of the dust  
408 storm (**Fig. 9b**). As mentioned above, Tashkent was severely affected by this severe dust storm,  
409 which reduced visibility below 1000 m at 15:00 UTC and below 200 m between 16:00–18:00  
410 UTC (4 November 2021). Dust aerosols over the city remained for the next 8 days, contributing  
411 to the reduced visibility ( $< 2\text{--}3 \text{ km}$ ; **Fig. 9b**) and the increased  $\text{PM}_{2.5}$  concentrations compared  
412 to pre dust storm days.

413 In Khujand, the dust plume arrived at 12:00 UTC and immediately caused a reduction in  
414 visibility to below 2.5 km. As the dust plume thickened, visibility dropped below 1 km for  
415 about 7 hours. At the time of dust arrival, the wind speed in Khujand was  $10 \text{ ms}^{-1}$ , while the  
416 changes in wind speed and visibility due to arrival of the dust storm were mostly similar in all  
417 the examined stations. This indicated that the thick dust plume that blanketed these sites was  
418 approaching in the form of a dust wall accompanied by strong near-surface winds, resulting in  
419 a strong negative correlation between wind speed and horizontal visibility. On the days prior  
420 to the dust storm, visibility records were mostly affected by local activities in the cities, while



421 on the days after the dust storm, the visibility remained at general low levels, until atmospheric  
422 cleaning.

### 423 3.3.3 Variations in surface air-temperature

424 **Figure 10** shows the temperature variation during the first half of November 2021 in  
425 Tashkent, Khujand, and Turkmenabat. The data showed that the dust intrusion on 4 November  
426 significantly changed the temperature regime in the region. As mentioned above, dust particles  
427 remained in the atmosphere for a long time, until heavy rain cleaned the air on 15<sup>th</sup> November  
428 2021 in Tashkent and Khujand. In Turkmenabat, there was no rain, but horizontal visibility  
429 started to increase above 10 km from 14<sup>th</sup> November 2021, after the removal of dust aerosols.

430 In Turkmenabat, the daytime air temperature decreased on 4<sup>th</sup> November 2021 compared  
431 to 3<sup>rd</sup> November 2021 (before dust event) and 11<sup>th</sup> November 2021 (after dust event) by -11.8°C  
432 and -3.4°C, respectively. However, opposite changes in nighttime temperature occurred by  
433 +5.1°C and +10.4°C relative to the days before and after the dust event. A similar situation  
434 was observed in Tashkent, where the daytime temperature decreased by -9.8°C and -10.1°C  
435 relative to 2<sup>nd</sup> November 2021 and 12<sup>th</sup> November 2021, while at night there was an increase  
436 in temperature by +2.3°C and +2.9°C, respectively. In Khujand, the dust storm on 4<sup>th</sup>  
437 November 2021, caused a notable decrease in daytime air temperature by -8.6°C and -12.5°C  
438 with respect to 2<sup>nd</sup> and 13<sup>th</sup> November 2021. The respective nighttime air temperatures were  
439 higher on 4<sup>th</sup> November by +9.5°C and +8.4°C compared to aforementioned days. It should  
440 be noted that apart from the aforementioned temperature amplitudes between the dust day and  
441 specific days before and after the dust event, the arrival of the dust storm over each station  
442 caused a notable decrease in air temperature (**Fig. 10**), which is partly attributed to presence of  
443 a cold front associated with dust and to radiative impact of dust on solar radiation.

444 Similar temperature changes with the arrival of intense dust storms have been reported  
445 at several sites worldwide (Alharbi et al., 2013; Kaskaoutis et al., 2019b; Maghrabi et al., 2011;  
446 Prakash et al., 2015). Kaskaoutis et al. (2019b) reported a considerable decrease in maximum  
447 temperature ( $\sim 8\text{--}11^\circ\text{C}$ ) due to dust radiative cooling and the passage of a cold front on 5<sup>th</sup> -6<sup>th</sup>  
448 February 2019 compared to 4<sup>th</sup> February 2019 in Zabol, Iran. The Middle East also experienced  
449 a remarkable reduction of  $-6.7^\circ\text{C}$  in temperature due to the dust radiative cooling during  
450 severe dust storms from 18<sup>th</sup> to 22<sup>nd</sup> March 2012 (Prakash et al., 2015). According to previous  
451 studies, mineral dust particles have an important role in global energy balance via both direct  
452 (on solar radiation) and indirect (on clouds) effects (Kok et al., 2018, 2017). Generally, when  
453 shortwave radiation encounters dust aerosols, cooling happens because some radiation does  
454 not reach the Earth's surface. On the other hand, dust particles can also absorb longwave  
455 radiation, emitted by the earth, atmosphere and clouds, and contributes to planetary warming  
456 (Kok et al., 2018; Mahowald et al., 2014; Miller et al., 2006; Tegen and Lacis, 1996).

### 457 **3.4 Backward trajectory analysis**

458 To monitor the movement of the dust storm that affected the three receptor sites, the  
459 HYSPLIT-4 model was implemented to analyse the transport pathway of dust particles through  
460 3-hour time intervals up to 48 hours before dust episodes reaching the study locations (**Fig. 11**).  
461 The starting point of trajectories was in Turkmenabat on 4/11/2021 at 09:00 UTC, in Tashkent  
462 on 4/11/2021 at 11:00 UTC, and in Khujand on 4/11/2021 at 12:00 UTC. The arriving height  
463 of the air masses at the receptor sites was set at mid boundary layer height to guarantee both  
464 transition and ending of dust trajectories in the boundary layer (Broomandi et al., 2021; Karaca  
465 et al., 2009).

466 Turkmenabat, in central Turkmenistan, was hit by the dust plume on 4<sup>th</sup> November at  
467 09:00 UTC, while the air masses at the altitude of 275 m originated from north/north-eastern  
468 directions, i.e., Qaraghandy and Pavlodar in Kazakhstan (**Fig. 11a**), passing over southeast

469 Kazakhstan, where the dust storm was generated (**Fig. 2b**). Similar air mass pathways are  
470 observed in Tashkent (**Fig. 11b**), which was upwind of the dusty air masses that hit  
471 Turkmenabat. The starting points of the majority of the air masses at 130 m altitude (mid-  
472 boundary layer height) were from eastern and central Kazakhstan, and continued to the Almaty  
473 region, Jambyl and south Kazakhstan, before reaching Tashkent (**Fig. 11b**). The dusty air  
474 masses that hit Khujand travelled over the same regions (**Fig. 11c**), while the results of  
475 trajectories simulation were consistent with the SEVIRI Visible/IR imagery (**Fig. 3**) during the  
476 dust intrusion, indicating that air masses were mainly originated from south-western parts of  
477 Russia, as well as eastern, central, and southern Kazakhstan. While they were passing over dust  
478 sources located in south Kazakhstan, including Kyzylorda and Kyzylkum Deserts, the transport  
479 of dust particles was facilitated by the northeast winds toward Turkmenabat, Khujand and  
480 Tashkent in the afternoon of 4<sup>th</sup> November 2021.

### 481 **3.5 Land degradation in Central Asia and future projections**

482 The 4<sup>th</sup> November severe dust storm over south-eastern Kazakhstan that affected a large  
483 area in CA, was a unique and rare phenomenon, in terms of its intensity, that happened in an  
484 area vulnerable to dust emissions and with continuous soil degradation during recent decades  
485 due to ongoing human interventions (Aiman et al., 2018; Baubekova et al., 2021; Guney et al.,  
486 2020; Kismelyeva et al., 2021; Ramazanova et al., 2021). Apart from the high PM  
487 concentrations during dust storms, potentially toxic elements (PTEs) as soil contaminants  
488 transported by dust may add more health and ecological concerns over the CA region.

489 Due to the potentially toxic-contaminated soils in arid areas of CA, it is recommended to  
490 perform site-specific studies, also examining the chemical composition during intense dust  
491 storms. It is also highly recommended to take effective and immediate stabilising measures to  
492 control the wind erosion in vulnerable areas. Since sand and dust storm (SDS) activity is an  
493 alarming challenge to sustainable development in more than 150 countries that are directly

494 affected by SDS worldwide (Middleton and Kang, 2017), it is necessary to prepare suitable  
495 climate adaptation and mitigation strategies, developing more reliable and accurate early  
496 warning systems and quantifying the impacts to societal implications in both national and  
497 regional scales. A transboundary multi-hazard risk assessment is also essential in analysing the  
498 cause-and-effect relationships and helping policymakers to fully understand the required  
499 dynamics and complexity of policy actions. Such transboundary dialogue and collaboration  
500 between the affected countries lead to policy interventions reflecting the geospatial link among  
501 the origins and receptors, which can positively influence both adaptation and mitigation aspects.

## 502 **4 Conclusions**

503 This study investigated a severe dust storm that occurred on 4<sup>th</sup> November 2021 over  
504 Central Asia, a phenomenon unprecedented in this region over the last 150 years (Eurasianet,  
505 2021) that caused an increase of PM<sub>10</sub> concentrations above 18,000  $\mu\text{g m}^{-3}$  in Tashkent,  
506 Uzbekistan. Meteorological measurements at selected sites in Central Asia including  
507 Turkmenabat in Turkmenistan, Khujand in Tajikistan and Tashkent in Uzbekistan showed that  
508 a large part of Central Asia was highly impacted by this unique dust storm, which reduced  
509 horizontal visibility to 200–1000 m and daytime temperature by 2–4 °C at different time periods.  
510 The thick dust plume that blanketed these sites approached in the form of a dust wall  
511 accompanied by strong near-surface winds.

512 Favourable meteorological conditions for the formation of an intense dust storm prevailed  
513 both in the upper and lower troposphere over Central Asia and more specifically over the  
514 eastern Kazakhstan, which was detected by SEVIRI imagery as the main dust-source region.  
515 A high-pressure ridge prevailed during the day prior to the dust storm, stretching from the  
516 Middle East and Iran to the Caspian Sea and west Russia, creating a typical omega blocking  
517 pattern at 500 hPa level, with a large ridge over west Russia and two troughs to its west and

518 east. The axis of the ridge progressively shifted from southeast-to-northwest (1<sup>st</sup> November) to  
519 southwest-northeast on 4<sup>th</sup> November, resulting in a strong surface air-temperature gradient  
520 and invasion of cold air masses associated with the anticyclonic system over Kazakhstan. The  
521 intense high-pressure system over CA was a triggering dynamic force for the formation of the  
522 dust storm on 4<sup>th</sup> November 2021, due to strong easterly winds from the southern flanks of the  
523 high-pressure system toward the southern part of CA, passing over Aralkum, Moiynkum,  
524 Kyzylorda, eastern Kyzylkum, Trans-Unguz, and central Karakum Deserts. On the dust storm  
525 day, an intense jet stream with core wind values of about 4 ms<sup>-1</sup> was located just above the dust-  
526 source region in southeastern Kazakhstan.

527 HYSPLIT air-mass back trajectories at the receptor sites of Turkmenabat, Khujand, and  
528 Tashkent were consistent with SEVIRI satellite data regarding the apportionment of the dust  
529 intrusions at each site, indicating that the dusty air masses mainly originated from the south-  
530 eastern parts of Kazakhstan, including Kyzylorda and Kyzylkum Deserts. The transport of dust  
531 plumes was facilitated by the northeast winds toward Turkmenabat, Khujand, and Tashkent in  
532 the afternoon of 4<sup>th</sup> November 2021. Central Asia is considered a highly sensitive area in view  
533 of climate change due to projections of precipitation decrease and increased possibility of  
534 prolonged droughts. Under such climatic conditions in the future, severe dust storms in the area  
535 will inevitably follow an increasing frequency, causing large deterioration to atmospheric  
536 environment and major socio-economic issues in the countries of Central Asia.

### 537 **Acknowledgments**

538 The authors acknowledge the financial support for the NU projects (Nazarbayev Research  
539 Fund SOE2017003 & 11022021CRP1512). Kawe | Kaveh M. acknowledges grant support by  
540 Iran National Science Foundation (INSF) under post-doctoral project No. 4001142. A.R  
541 acknowledges support by Iran National Science Foundation (INSF) under project No 99003984.

542 The authors thank Earth data, NASA, the ECMWF and Copernicus teams for providing the  
543 MODIS, MERRA-2 and ERA-5 products used in this work.

#### 544 **Conflict of interest**

545 The authors declare that they have no conflict of interest.

#### 546 **Credit Authorship Contribution Statement**

547

548 **Parya Broomandi:** Conceptualization, Methodology, Software, Data Curation, Formal Analysis,  
549 Validation, Investigation, Visualization, Writing - Original Draft.

550 **Kaveh Mohammadpour:** Conceptualization, Methodology, Software, Data Curation, Formal Analysis,  
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563

564

#### 565 **Data availability**

566 Data is available on request from the authors.

#### 567 **Ethical Approval**

568 Not applicable.

569 **Consent to participate**

570 Not applicable.

571 **Consent for publication**

572 Not applicable.

573 **Data availability**

574 Data is available on request from the authors.

575 **Ethical Approval**

576 Not applicable.

577 **Consent to participate**

578 Not applicable.

579 **Consent for publication**

580 Not applicable.

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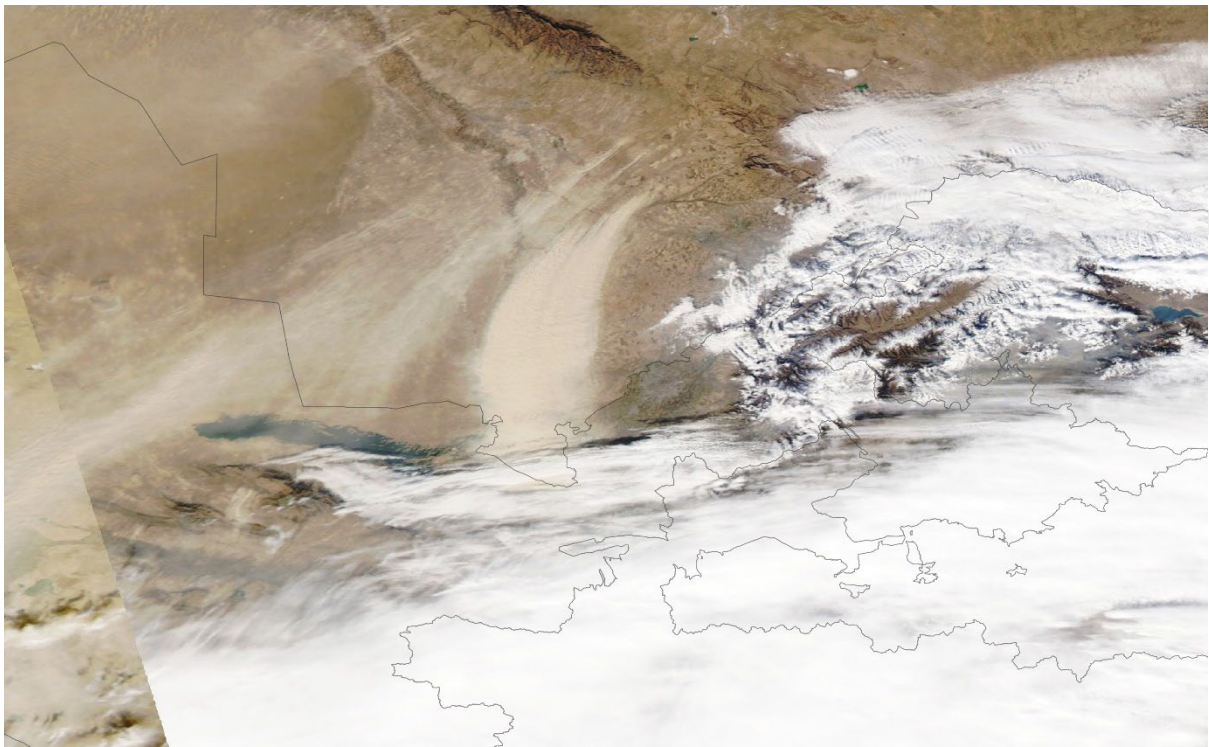
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**Figure 1:** True colour image from the Terra-MODIS sensor

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(<https://worldview.earthdata.nasa.gov>) on 4<sup>th</sup> November 2021, focused on the dust storm.

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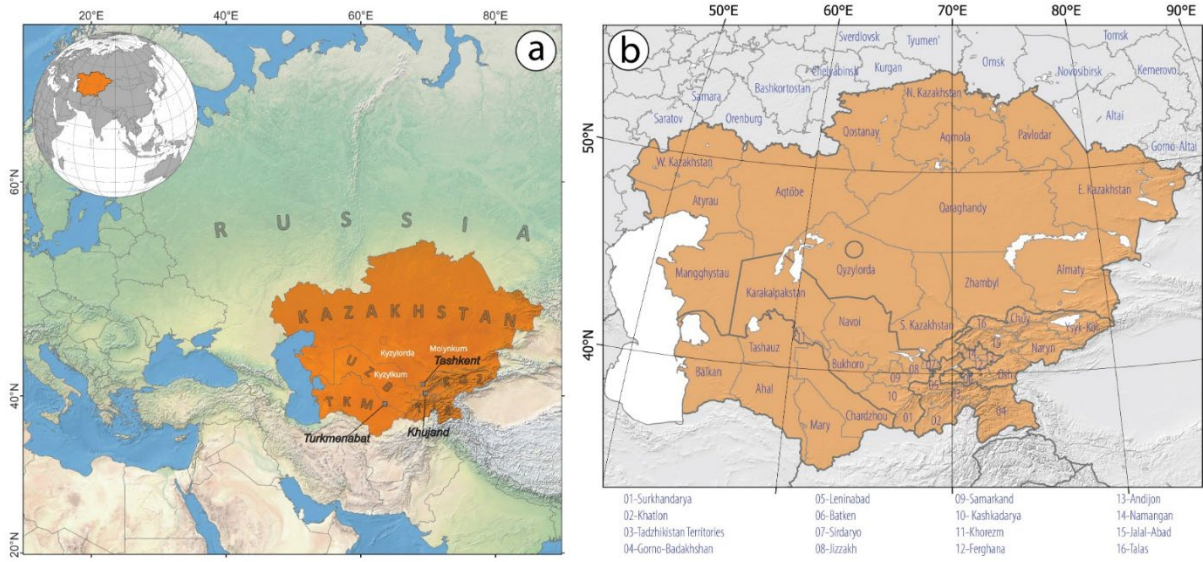
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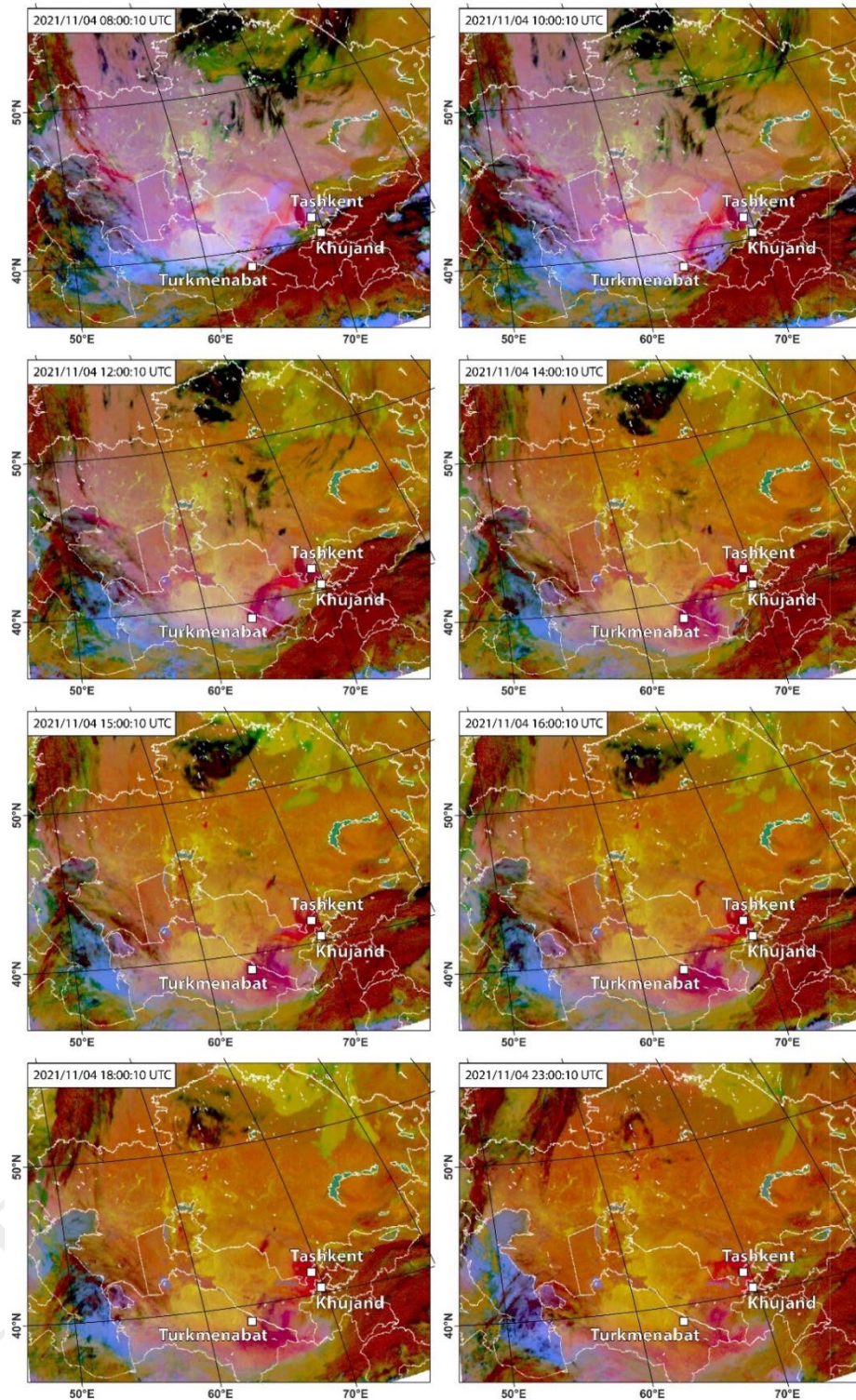


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929 **Figure 2:** Physiographic map of the study area highlighting the Central Asian countries.

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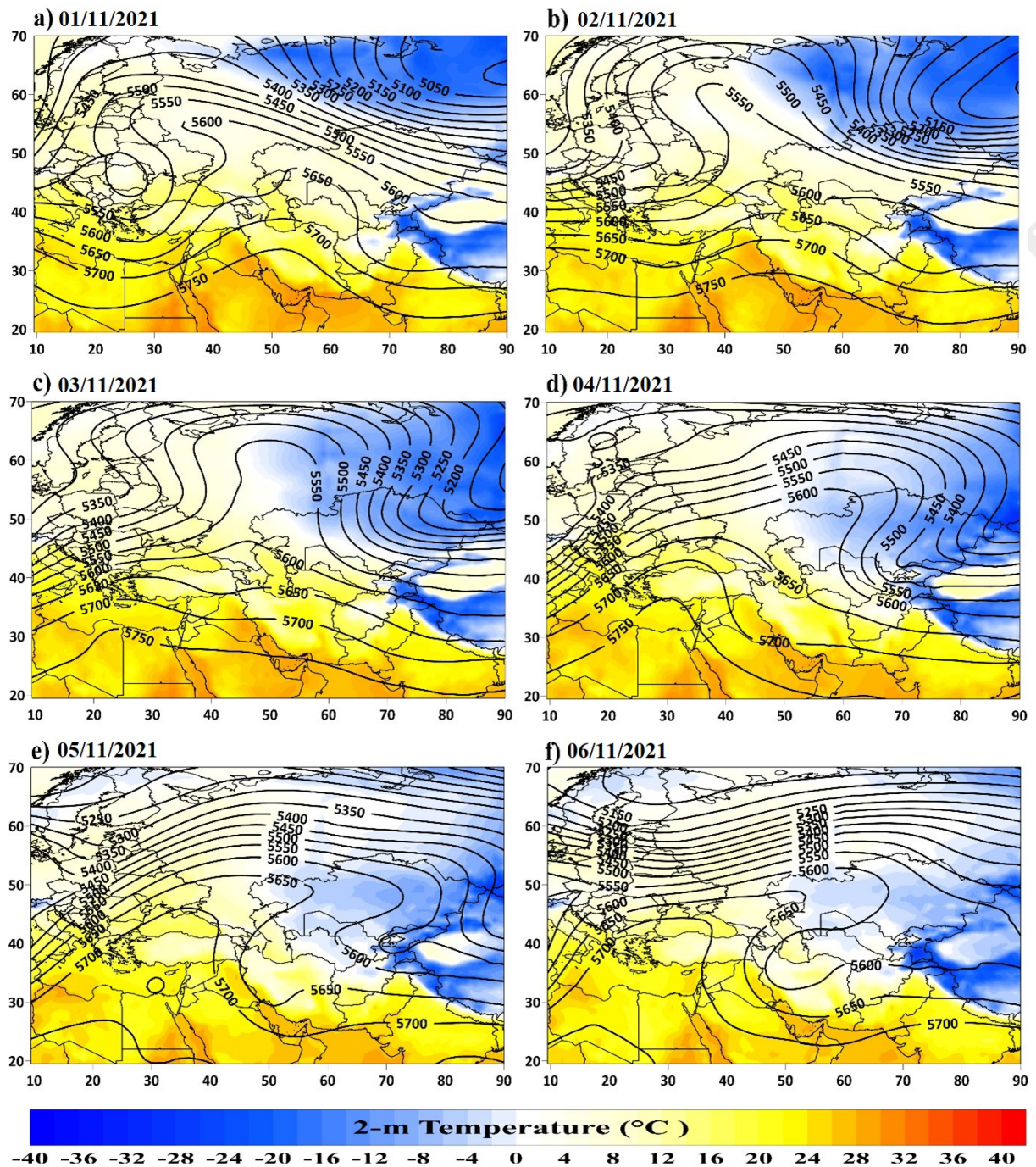
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933 **Figure 3:** SEVIRI satellite images over Central Asia at different hours on 4 November 2021,

934 detecting the evolution of the thick dust plume (in pink/magenta). The key receptor sites of

935 Tashkent in Uzbekistan, Khujand in Tajikistan and Turkmenabat in Turkmenistan are also

936 shown.



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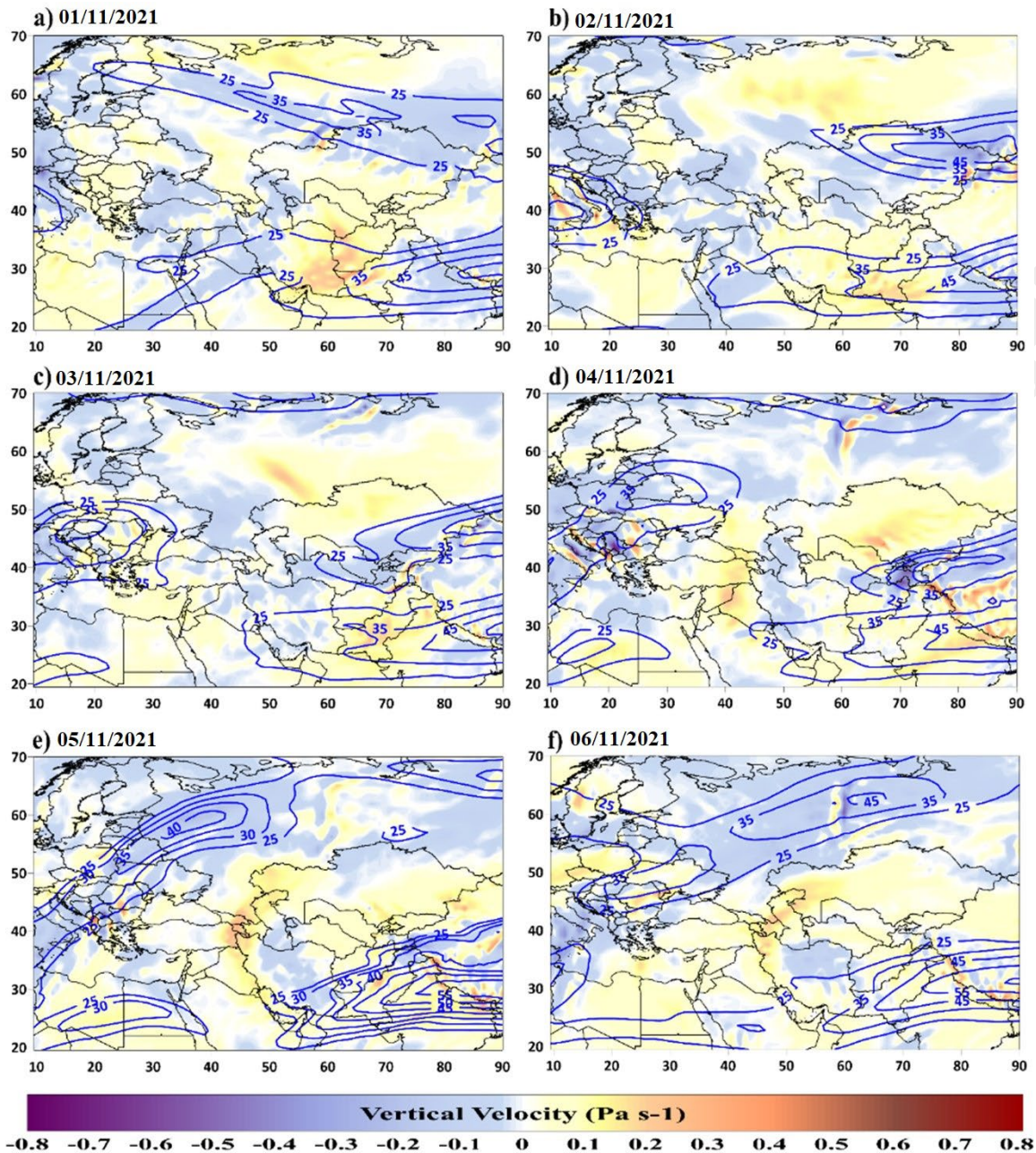
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**Figure 4:** Composite maps of geopotential heights at 500 hPa (black contours) and surface temperature (shaded area) from 1 to 6 November 2021 (a - f).

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**Figure 5:** Composite maps of omega at 300 hPa (shaded area) and zonal wind at 250 hPa  
 (blue contours) from 1 to 6 November 2021 (a - f).

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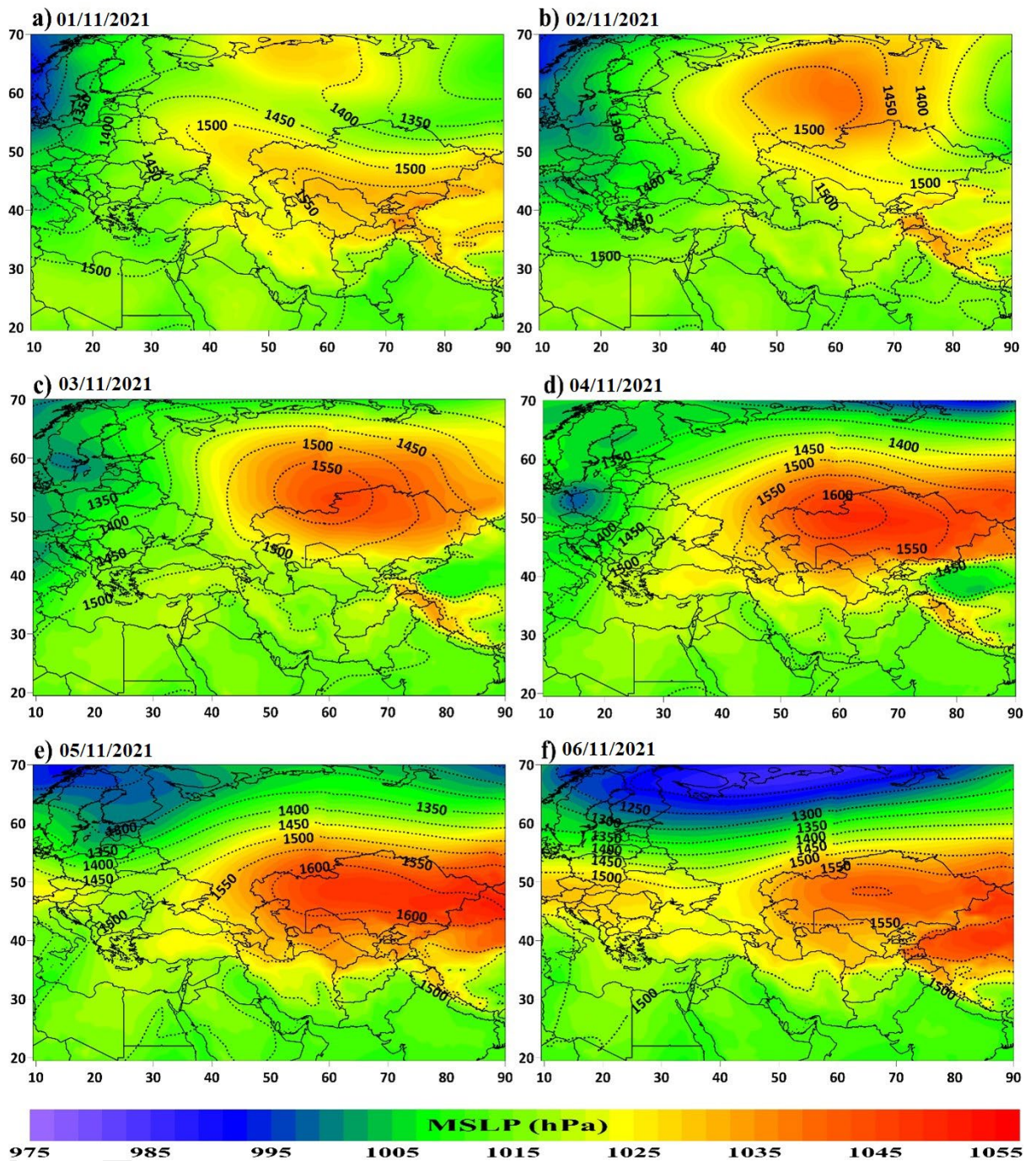
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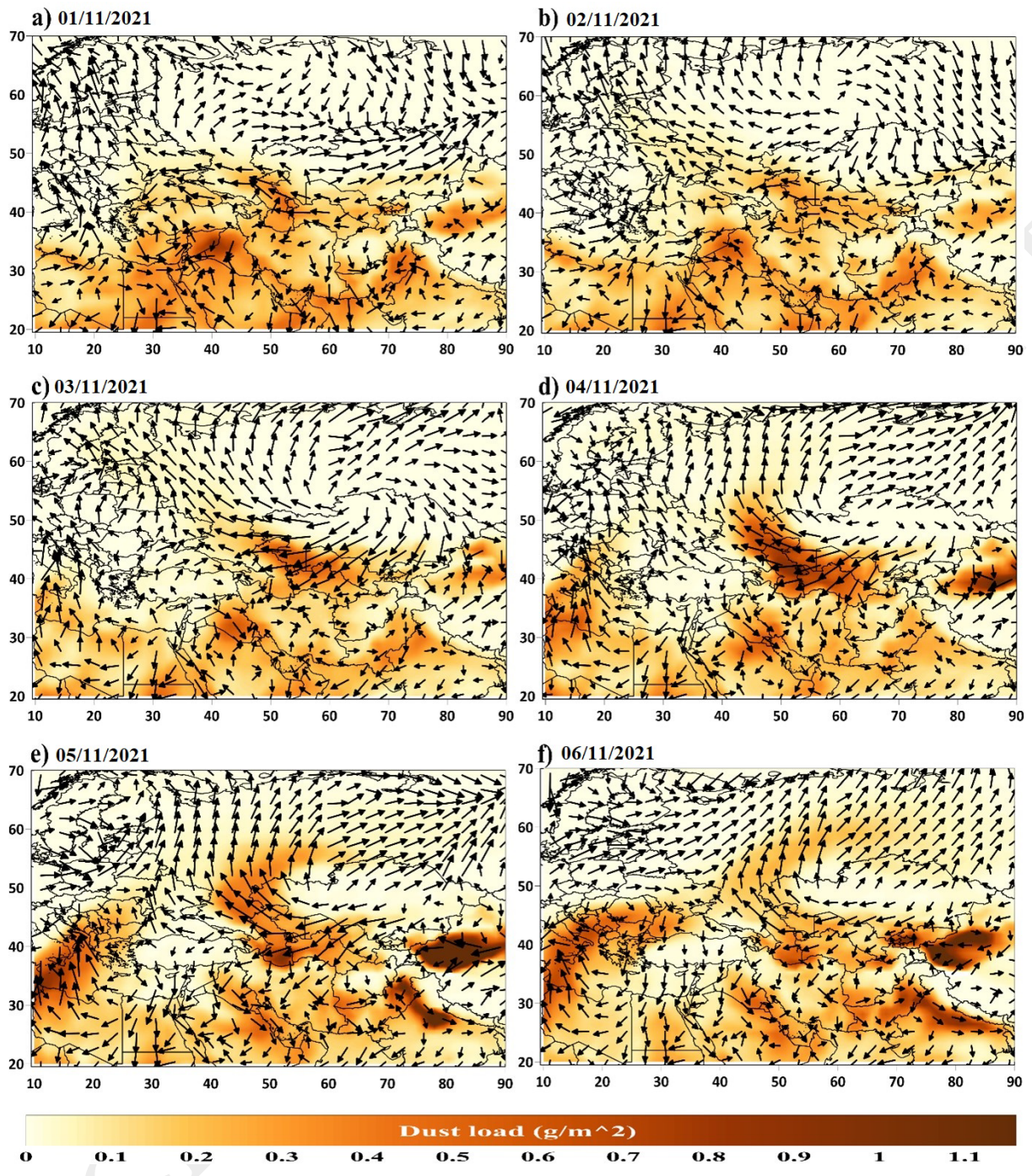
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**Figure 6:** Composite maps of geopotential heights at 850 hPa (dash black contours) and mean sea-level pressure (MSLP, shaded area) from 1<sup>st</sup> to 6<sup>th</sup> November 2021 (a - f).



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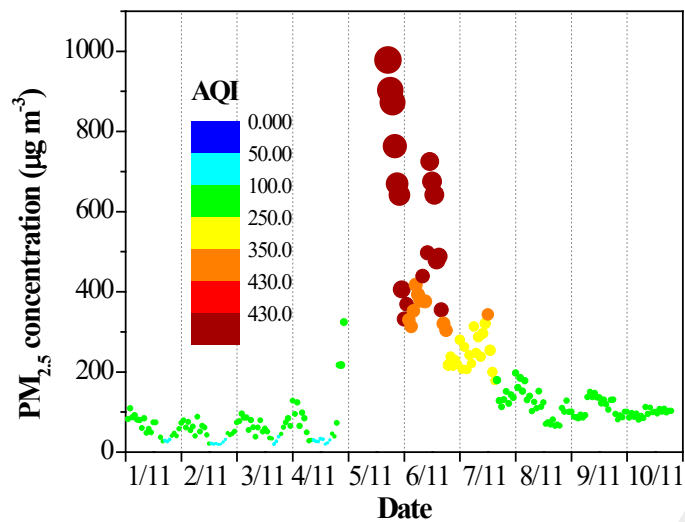
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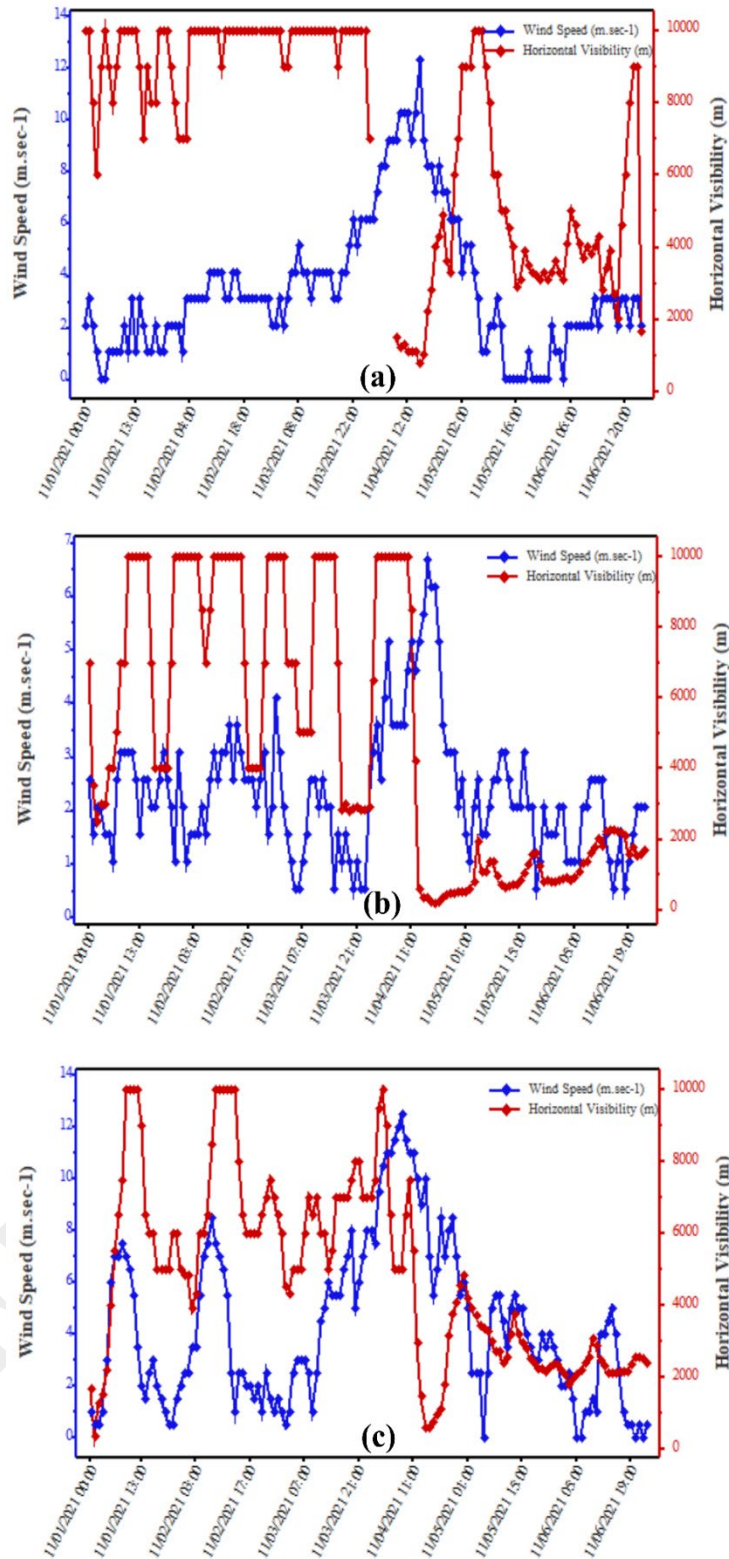
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**Figure 7:** Composite maps of dust load (g m<sup>-2</sup>) and surface vector winds (m s<sup>-1</sup>) from 1<sup>st</sup> to 6<sup>th</sup> November 2021 (a-f).

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**Figure 8:** Hourly PM<sub>2.5</sub> concentrations in Tashkent around the dust storm day (4<sup>th</sup> November 2021), color-coded with the AQI values.



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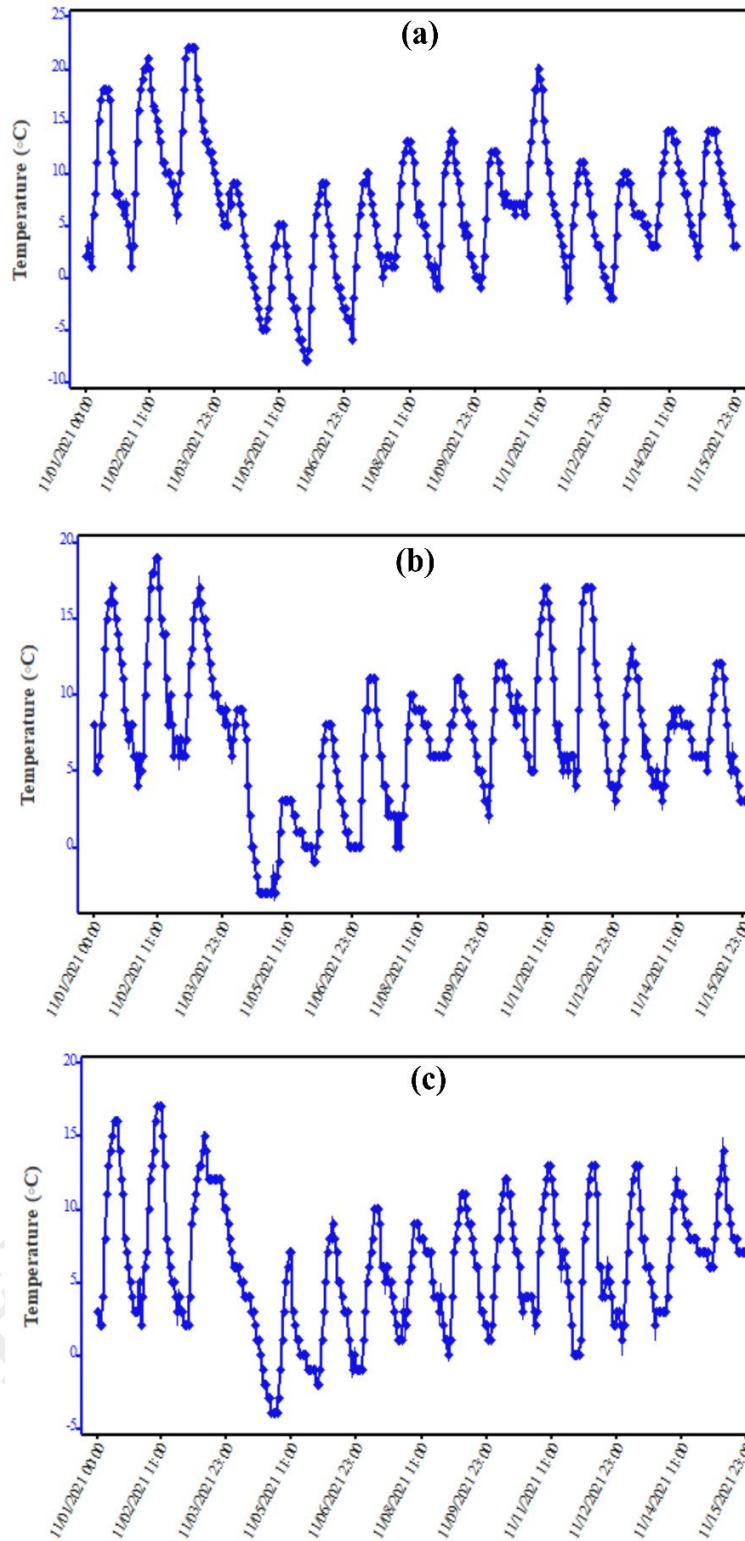
987 **Figure 9:** Hourly ground-based measurements of wind speed and horizontal visibility at

988 stations in Central Asia, (a) Turkmenabat, (b) Tashkent and (c) Khujand during 1-6

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November 2021.

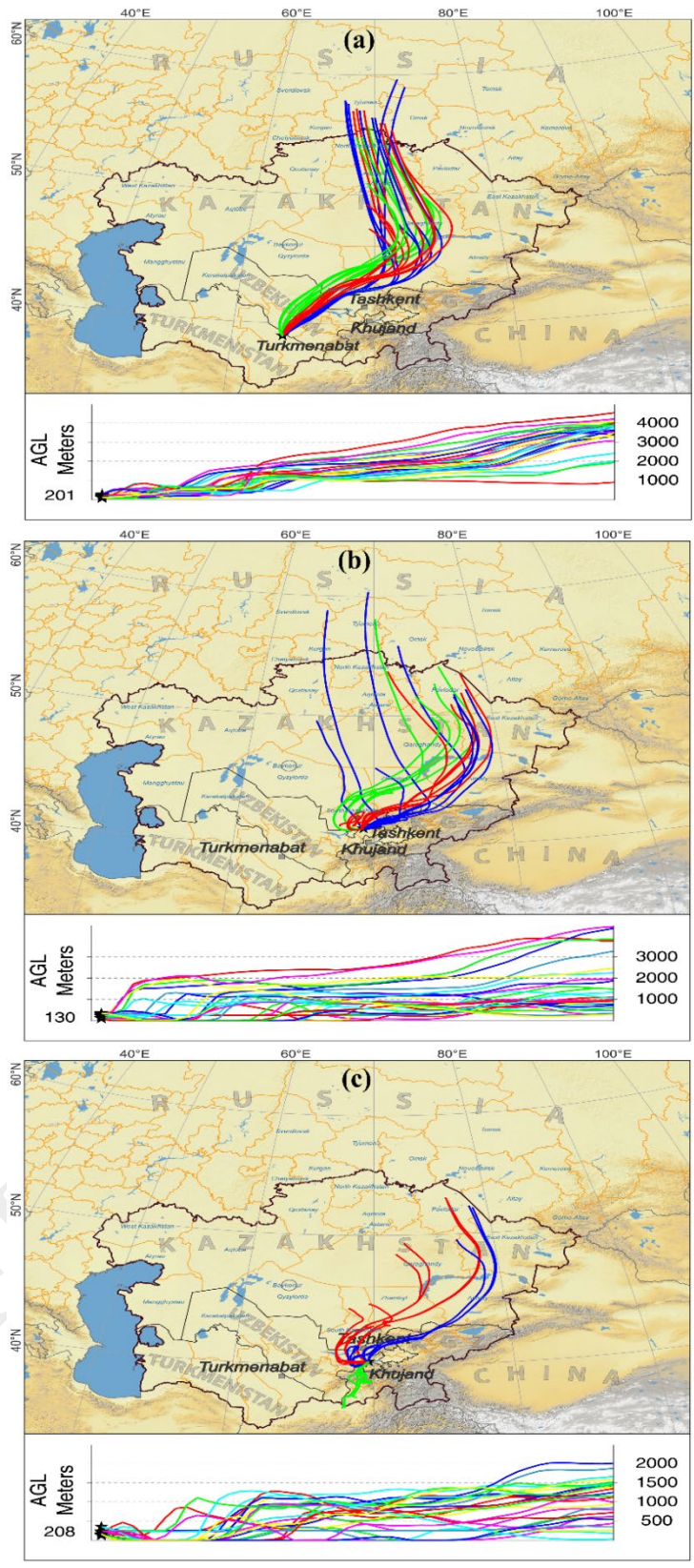




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991 **Figure 10:** The hourly ground-based measurements (2-m temperature) for the study stations  
 992 of (a) Turkmenabat (b) Tashkent, and (c) Khujand between 1-15 November 2021.

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**Figure 11:** Backward trajectory analysis by HYSPLIT model at receptor sites of (a) Turkmenabat, (b) Tashkent and (c) Khujand on 4<sup>th</sup> November 2021 (the dust storm day).